



Rev. 10/95 Patent and Trade		Арр	lication Number	09/728,37				
F		Filin	g Date	Novembe				
TRANSMITTAL FORM		Firs	t Named Inventor	Ting K. Ye				
(to be used for all correspondence during pendency of filed application)		Gro	up Art Unit Number	2633				
		Examiner Name		David S. Kim				
Total Number of Pages in This Submission	23	Attorney Docket Number		20852-051				
ENCLOSURES (check all that apply)								
Fee Transmittal Form (in duplicate)			Issue Fee Transi	mittal				
Check Enclosed			Letter to Chief D	raftsperson				
Return Receipt Postcard			Formal Drawing(
Response to Notice to File Missing Par) of Figure(s) [•			
Assignment & Recordation Cover Shee	ı.		Interferences	ication to Boar	d of Appeals and			
Power of Attorney			Appeal Commun					
Application Data Sheet			(Appeal Notice, Brief, Reply Brief)					
Information Disclosure Statement & PT Copies of IDS Cited Reference			Certified Copy of Priority Document(s) After Allowance Communication to Group					
Request for Corrected Filing Receipt								
Request for Correction of Recorded Assignment			RECEIVED					
Amendment/Response A 16 Pages and 4 sheets of drawings						04		
After Final								
Status Request			Technology Center 200					
Revocation and Substitute Power of Attorney								
REMARKS:								
SIGNATURE OF ATTORNEY OR AGENT								
Signature: Dorian Cantrusto								
Attorney/Reg. No.: Dorian Cartwright, R	eg. No. 53,8	53		Dated:	August 20, 2004			
CERTIFICATE OF MAILING								
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 87 CFR 1.10.								
Signature: Lorian Centriff								
Typed or Printed Name: Dorian Cartwri	ght			Dated:	August 20, 2004			
Express Mail Mailing Number (optional):								



FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 210.00

	Complete if Knov	vn
Application Number	09/728,373	
Filing Date	November 28, 20	000
First Named Inventor	Ting K. Yee	RECEIVED
Examiner Name	David S. Kim	
Art Unit	2633	AUG 3 0 2004
Attorney Docket No	20852-05169	Hod o c cool

METHOD OF PAYME	NT (check all that apply)	FEE CALCULATION (continued)				/~+++-6.1.1	
Check Credit Card Deposit Account:	Money Order ☐ Other ☒ None	3. AC	DITION	AL FEE	s		
Deposit Account Number	19-2555	Large	rge Entity S		Entity	Fee Description	Fee Paid
Deposit Account Name	Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
The Commissioner is author	prized to: (check all that apply)	1051	130	2051	65	Surcharge - late filing fee or oath	
Charge fee(s) indicated t	pelow 🛛 Credit any overpayments	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
) or any underpayment of fee(s) due 1.17 during the pendency of this	1053 1812	130 2,520	1053	130 2,520	Non-English specification	-
application					•	For filing a request for ex parte reexamination	
Charge fee(s) indicated t	pelow, except for the filing fee	1804 1805	920* 1,840*	1804	920* 1,840*	Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action	
to the above-identified deposi	it account	1005	1,040	1805	1,040	Requesting publication of Six after Examiner action	
	LCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE		1252	420	2252	210	Extension for reply within second month	210
Large Entity Small Entity		1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee	Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$)		1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770 2001 385	Utility filing fee	1401	330	2401	165	Notice of Appeal	
1002 340 2002 170 -	Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2003 265	Plant filing fee	1403	290	2403	145	Request for oral hearing	
1004 770 2004 385 1005 160 2005 80	Reissue filing fee Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	700	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOT	AE (1) (+)	1453	1,330	2453	665	Petition to revive - unintentional	
	FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
· , , , , , , , , , , , , , , , , , , ,	a Claims below Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims 31 -31**=0		1503	640	2503	320	Plant issue fee	· ·
Independent 2 -3** = 0	X 43 = 0	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent	=	1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9	Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 86 2201 43	Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1203 290 2203 145	Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86 2204 43	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9	**Reissue claims in excess of 20 and over original patent	Other fee (specify)					
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SUBTOT				- F:U F	D -1-1	SUBTOTAL (3) (\$) 210.0	JU
or number previously paid, if g	reater; For Reissues, see above	•Keauc	æd by Basi	c riling F	ee Palo		

SUBMITTED BY					Complete (if applicable)
Name (Print/Type)	Dorian Cartwright	Registration No. (Attorney/Agent)	53,853		Telephone (650) 335-7247
Signature	Doria Cartain	<u>G</u>		Date	August 20, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PLICANT:

Ting K. Yee and Peter H. Chang

SERIAL NO.:

09/728,373

FILING DATE:

November 28, 2000

TITLE:

OPTICAL COMMUNICATIONS USING HETERODYNE DETECTION

EXAMINER:

Jason Chan

GROUP ART UNIT:

ATTY. DKT. NO.:

2633

20852-05169

RECEIVED

AUG 3 0 2004

Technology Center 2600

CERTIFICATE OF MAILING

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Dated: August 20, 2004

Dorian Cartwright, Reg. No.: 53,853

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450**

 ${f A}$ MENDMENT ${f A}$

SIR:

This amendment for the patent application identified above is in response to the Office Action dated March 22, 2004 (paper no. 11), which set a shortened statutory period for response that expired on June 22, 2004. A two-month extension fee is included herewith.

Kindly amend this application as indicated herein.

08/25/2004 LWOHDINI 00000010 09728373"

01 FC:2252

210.00 OP